

COFC

December 15, 2004

To: Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Publishing Division  
Certificate of Correction Branch

From: George O. Saile, Reg. No. 19,572  
28 Davis Avenue  
Poughkeepsie, N.Y. 12603

Subj: Serial No. 10/627,834 F/D 7/25/03  
Patent No. 6,818,491 *B2* Issued 11/16/04  
Inventor: Peter W. Lee, Hsing-Ya Tsao, Fu-Chang Hsu, Mervyn Wong

**Certificate**  
DEC 22 2004  
**of Correction**

#### REQUEST FOR CERTIFICATE OF CORRECTION

Dear Sir:

Pursuant to 37 C.F.R. 1.322, a Certificate of Correction is requested for the above identified issued US Patent.

Due to an Office mistake, the Assignee's name was reproduced incorrectly on the cover sheet. The complete and correct Assignee's name is --Aplus Flash Technology, Inc., San Jose, CA (US)--. It is clear the mistake was made by the Office because the Assignee's name was correct on the Part B – Fee Transmittal, Filing Receipt and Assignment, as amended by the Examiner.

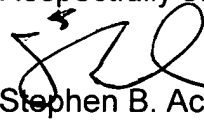
27 DEC 2004

Copies of the Forms are attached.

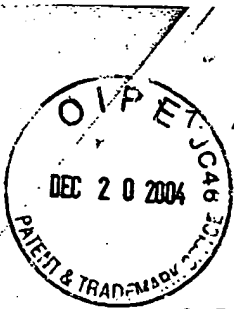
Form PTO-1050 has been completed, as required by MPEP S1485, indicating the correction to the Assignee's name. No fee is included since this error was due to an Office mistake. Please issue the Certificate of Correction to correct this error.

If there are any questions, please contact the undersigned attorney at (845) 453-5863. Thank you for your attention to this matter.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'S. Ackerman', with a stylized flourish at the end.

Stephen B. Ackerman, Reg. No, 37,761



Assignment

- ☒ The prior application is assigned of record to  
**APLUS FLASH TECHNOLOGY, INC.**

8. Power of Attorney

- ☒ The power of attorney in the prior application is to **GEORGE O. SAILE, REG. NO. 19,572**
- a. ☒ The power appears in the original papers in the prior application
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☐ A new power has been executed and is attached.
- d. ☒ Address all future communications to  
**George O. Saile  
28 Davis Avenue  
Poughkeepsie, N.Y. 12603**

9. Maintenance of copendency of Prior Application

*(This item must be complete and the papers filed if the period set in the prior application has run)*

- ☐ A petition, fee and response has been filed to extend the term in the pending prior application until \_\_\_\_\_
- ☐ Please abandon the prior application when the petition for extension of time in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**Stephen B. Ackerman, Reg. No. 37,761**  
Type name of person signing

**July 25, 2003**  
Date

**28 Davis Avenue**  
P.O. Address of Signatory

**Poughkeepsie, NY 12603**

Telephone No.: **(845) 452-5863**

Signature \_\_\_\_\_

- ☐ Inventor
- ☐ Assignee of complete interest
- ☐ Person authorized to sign on behalf of assignee
- ☒ Attorney or agent of record

## PART B - FEE(S) TRANSMITTAL

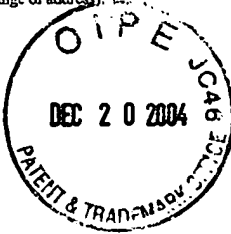
Complete and send this form, together with applicable fee(s), to: MailMail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address).

7590

07/12/2004

George O. Saile  
28 Davis Avenue  
Poughkeepsie, NY 12603

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Stephen B. Ackerman	(Depositor's name)
<i>Stephen B. Ackerman</i>	(Signature)
September 27, 2004	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/627,834	07/25/2003	Peter W. Lee	AP01-002B	3762

TITLE OF INVENTION: NOVEL SET OF THREE LEVEL CONCURRENT WORD LINE BIAS CONDITIONS FOR A NOR TYPE FLASH MEMORY ARRAY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$300	\$965	10/12/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
DANG, PHUC T	2818	438-200000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. George O. Saile

2. Stephen B. Ackerman

3. \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Aplics Flash Technology, Inc.San Jose, CAPlease check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

4b. Payment of Fee(s):

☒ Issue Fee

☐ Publication Fee (No small entity discount permitted)

☒ Advance Order - # of Copies 8

☐ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 19-0033 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature)

(Date)

*Stephen B. Ackerman* 9/27/04

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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TRANSMIT THIS FORM WITH FEE(S)

OCT. 26 2003

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/627,834	07/25/2003	2812	375	AP01-002B	42	7	1

CONFIRMATION NO. 3762

George O. Saile  
 28 Davis Avenue  
 Poughkeepsie, NY 12603

## FILING RECEIPT



\*OC000000011089996\*

Date Mailed: 10/23/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Peter W. Lee, Saratoga, CA;  
 Hsing-Ya Tsao, San Jose, CA;  
 Fu-Chang Hsu, San Jose, CA;  
 Mervyn Wong, El Cerrito, CA;

## Assignment For Published Patent Application

APLUS FLASH TECHNOLOGY, INC.;

## Domestic Priority data as claimed by applicant

This application is a DIV of 09/978,230 10/16/2001 PAT 6,620,682

## Foreign Applications

If Required, Foreign Filing License Granted: 10/23/2003

Projected Publication Date: 01/29/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

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(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : US6,818,491 *B2*

DATED : 11/16/04

INVENTOR(S) : Peter W. Lee, Hsing-Ya Tsao, Fu-Chang Hsu, Mervyn Wong

It is certified that error appears in the above-identified patent and that said Letters Patent  
is hereby corrected as shown below:

On the Title page, in item (73), delete "Aplvs Flash Technology, Inc., San Jose, CA  
(US)", and replace with --Aplus Flash Technology, Inc., San Jose, CA (US)--.

MAILING ADDRESS OF SENDER:

George O. Saile & Associates  
28 Davis Avenue  
Poughkeepsie, NY 12603

PATENT NO. US6,818,491

No. of additional copies



Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comment on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

27 DEC 2004

DUPLICATE

PTO/SB/44 (02-01)

Approved for use through 01/31/2004. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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(Also Form PTO-1050)

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